

Atty. Docket No.: 3524-14
Express Mail Label No.: EV76812285US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Andrew Hausman

Application No: 09/584,045

Filing Date: May 30, 2000

For: ELECTRONIC TRADING SYSTEM
FOR ELECTRICITY FORWARDS

Art Unit: 3693

Examiner: Ella Colbert

Confirmation No.: 9896

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(d)

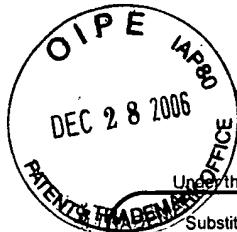
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Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

Complete if Known	
Application Number	09/584,045
Filing Date	May 30, 2000
First Named Inventor	Andrew Hausman
Art Unit	3693
Examiner Name	Ella Colbert
Attorney Docket Number	3524-14

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

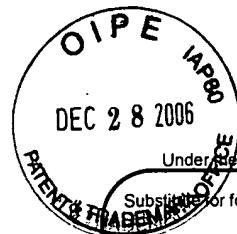
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		WO 97/22072	06-19-1997	Reuters Limited		
		WO 97/45802	12-04-1997	Reuters Transaction Services Limited		
		WO 99/19821	04-22-1999	Derivatives Net, Inc.		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2 Attorney Docket Number 3524-14

Complete if Known

Application Number	09/584,045
Filing Date	May 30, 2000
First Named Inventor	Andres Hausman
Art Unit	3624
Examiner Name	Ella Colbert
Attorney Docket Number 3524-14	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		8 pages from HoustonStreet.com dated 8/22/99 (http://home.houstonstreet.com/fulltours/sections) 022.htm, /050.htm, /060.htm, /070.htm, /080.htm, 081.htm, /083.htm and /120.htm	
		Dealing 200-2, Definitions and Rule book, Version 6.2 (Reuters Limited, London) 1994	
		Tafex: Transamerica Foreign Exchange, Tafex Systems Corporation (New York)	
		Chicago Merchantile Exchange's Proposed Globex Trading System, Division of Trading and Markets, February 2, 1989	

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